

Financial Engines, Inc., provides personalized investment help to more than 9 million employees of large employers.¹

Financial Engines' Corporate Facts

What we do:

Founded in 1996 by Nobel Laureate William Sharpe, former SEC Commissioner Joseph Grundfest, and the late Craig Johnson, then-chairman of the Venture Law Group, Financial Engines offers personalized, independent, and high-quality investment advice to individuals, regardless of their wealth or investment experience.

We assist individuals with developing a personalized and comprehensive savings, investing, and retirement income plan. We use sophisticated technology to deliver services that help individuals set an appropriate risk level for their goals and situation, and create a diversified investment portfolio from among the investment choices available in their employer's 401(k) plan.

We can either professionally manage an employee's 401(k) account or provide online advice through expert recommendations and interactive tools. For employees who decide to rollover their 401(k) when retiring or leaving their employer, we can also manage the employee's, or their spouse's, IRA assets. Annually, Financial Engines provides a retirement readiness assessment, including estimated annual retirement income from Social Security, 401(k)s, IRAs, and pensions, if applicable, to all employees in the plans we serve.

For employees selecting the Income+ feature of Financial Engines® Professional Management service, we will manage the portfolio to be ready to generate retirement income, and can generate steady payouts that are designed to last for life with the purchase of an optional out-of-plan fixed annuity.

Financial Engines does not manufacture or sell products, and does not accept commissions.

Who we work with:

Financial Engines works with **670 employers** throughout the country, including **143 of the Fortune 500** and a number of the largest 401(k) providers, including:²

Aon Hewitt

Empower Retirement

Fidelity Investments

Mercer

T. Rowe Price

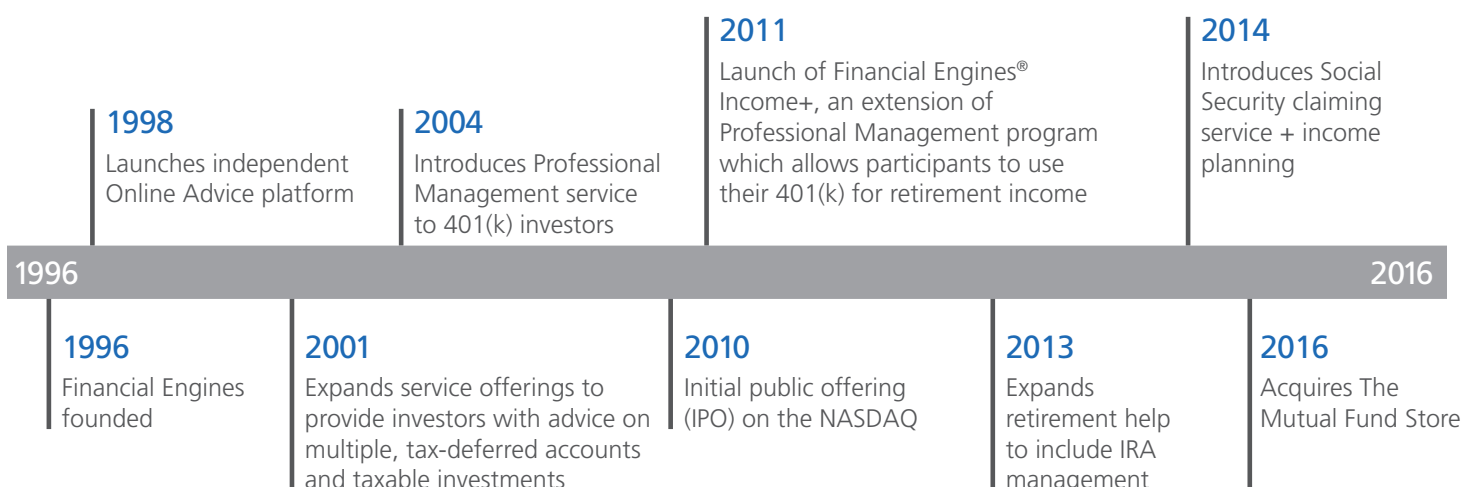
Vanguard

Voya Financial

Wells Fargo

Xerox

Over 9 million people have access to Financial Engines investment help.



Ticker Symbol

Financial Engines common stock is traded under “FNGN” on the NASDAQ.

Our Locations

Headquartered in Sunnyvale, CA, Financial Engines has additional offices in Boston, MA, Overland Park, KS, Phoenix, AZ, and more than 125 advisor locations nationwide.

Quick Facts

Growth of Professional Management Assets and Users¹

Date	Assets Under Management (\$B)	Participants who have entrusted us to manage their money
2004	\$1.00	14,800
2005	\$3.30	49,000
2006	\$8.00	97,800
2007	\$16.30	227,500
2008	\$15.60	321,900
2009	\$25.70	391,200
2010	\$37.70	472,000
2011	\$47.50	567,000
2012	\$63.90	660,000
2013	\$88.20	753,000
2014	\$104.40	848,000
2015	\$113.40	932,000

Our Leadership Team

Lawrence M. Raffone

President & CEO

John B. Bunch

Executive Vice President
President, Financial Engines Advisors LLC

Michael J. Campbell

Executive Vice President of Technology

Chung M. Cheong

Executive Vice President of Product and Consumer Marketing

Gina M. Cruse

Executive Vice President of Human Resources

Mark P. Costello

Executive Vice President of Service Delivery

Christopher L. Jones

Executive Vice President of Investment Management and Chief Investment Officer

Paul A. Gamble

Executive Vice President of Distribution and Institutional Services

Kelly S. O'Donnell

Executive Vice President of Business Operations and Corporate Marketing

Raymond J. Sims

Executive Vice President, Chief Financial Officer, and Chief Risk Officer

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¹ As of Dec 31, 2015.